Topics Today

• Revised Research Terms and Conditions (RTC)

• NSF Pilot – Identification of Collaborators at Proposal

• Encore Presentation

• Account Set-Up Overview
Revised Research Terms and Conditions (RTC)

• The RTCs address and implement the *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (**2 CFR 200**) issued by the U.S. Office of Management and Budget (OMB).

• Revision of the RTCs and accompanying documents is an initiative of the Research Business Models (RBM) Interagency Working Group.
Revised Research Terms and Conditions (RTC)

- NSF serves as the sponsor of the updated version of the RTCs

- Access RTC’s on the NSF website at:
Agencies Participating in the RBM Working Group

- National Aeronautics and Space Administration (NASA)
- National Institute of Health (NIH)
- National Science Foundation (NSF)
- United States Department of Agriculture (USDA)/National Institute of Food and Agriculture (NIFA)
- U. S. Department of Commerce (DOC)
Agencies Participating in the RBM Working Group (cont’d)

• U. S. Department of Energy (DOE)
• U. S. Environmental Protection Agency (EPA)
• U. S. Department of Transportation/
  Federal Aviation Administration (FAA)
• U. S. Department of Homeland Security (DHS)
Check the NSF RTC Website often for updates

• Since there will be multiple agency implementation dates, the NSF RTC Website should be consulted frequently as the Agency Specific Requirements (ASR) listing and Appendices A-C will be updated when other agencies implement the RTCs.
Further Information needed on RTCs?

• For further information on the RTCs, please contact the NSF Division of Institution and Award Support (DIAS) Policy Office at (703) 292-8243 or by email to policy@nsf.gov.

• FAQ’s at the COFAR Resources page:
  • https://cfo.gov/cofar/cofar-resources/
NSF Pilot – Identification of Collaborators at Proposal Effective April 24, 2017
NSF Collaborators and Other Affiliations (COA) Information

• NSF is initiating a new pilot on submission of Collaborators and Other Affiliations (COA) information. **Effective April 24th 2017**, NSF will require the use of a new spreadsheet as a template for Identifying COA information.
NSF Collaborators and Other Affiliations (COA) Information

- The NSF *Proposal and Award Policies & Procedures Guide* (PAPPG) (NSF 17-1) requires PIs, co-PIs, and other senior project personnel identified on NSF proposals to individually upload Collaborators and Other Affiliations information as a Single Copy Document (see PAPPG Chapter II.C.1.e).
The Spreadsheet template:

• Has been developed to be fillable. However, the content and format requirements must not be altered by submitters.

• Must be saved in .xlsx or .xls formats and directly uploaded into FastLane as a Collaborators and Other Affiliations Single Copy Document.

• Will be converted by FastLane from an .xlsx or .xls file to a PDF file.
The Spreadsheet template:

• Will enable preservation of searchable text that otherwise would be lost. Must be uploaded in .xlsx or .xls formats only. Uploading a Collaborators and Other Affiliations Single Copy Document in any other format may delay the timely processing and review of your proposal.

• Will be directly linked in FastLane.
• The new Collaborators and Other Affiliations pilot beginning on April 24 will only be for FastLane proposal submissions. Grants.gov proposal submissions will continue to follow the instructions in *NSF Grants.gov Application Guide* Chapter VI.2.4.

• The template and associated instructions may also be accessed directly at: [https://www.nsf.gov/bfa/dias/policy/coa.jsp](https://www.nsf.gov/bfa/dias/policy/coa.jsp).
ENCORE PRESENTATION
Office of Sponsored Programs (OSP): Awards - from Log In to Cayuse Upload
OSP – Award Log In

OSP receives award agreement
• Award agreement logged in
• Award agreement assigned to award coordinator
• Email sent to PI and Dept with award agreement and research compliance form attached
OSP – G/L Budget Requested

• Award coordinator contacts Dept business manager and requests G/L budget for obligated increment stated in the award agreement

• Once Dept returns G/L budget, award coordinator attaches Dept’s G/L budget to award agreement documents
OSP – Review, Negotiation, & Execution

- Award coordinator negotiates terms and conditions with sponsor
- Award coordinator finalizes award agreement and sends to UTK authorized official for signature
- Sponsor countersigns and sends copy of fully executed award agreement to award coordinator
OSP – Upload to Cayuse SP

• Award coordinator creates award in Cayuse SP
  • Awards in Cayuse all begin with A
  • *Example:* award uploaded in FY17 will be identified as **A17-xxxx** (where xxxx’s are numbers assigned by Cayuse SP)

• Award coordinator uploads award agreement, Dept’s G/L budget, applicable emails/documents, and award checklist to Cayuse SP under assigned award #
OSP – Notify SPA of Award

• OSP notifies Sponsored Projects Accounting (SPA) that an award has been created in Cayuse SP

• OSP requests that SPA create the Restricted (R) account in IRIS for this award
Thank You!

Questions? Contact:
Office of Sponsored Programs
(865)-974-3466
OSP@utk.edu
NEXT RAOR FORUM

• May 17th, 2017
• 9:30am – 10:30am
• Haslam College of Business
• West Wing Conference Room, Room #440
• Presenter: Marilyn Steinle, Treasurer’s Office
• Topic: Equipment

• No RAOR Forums during the summer. Have a great summer!

• Kickoff meeting will be September 20th, 2017 – see y’all then!
Smokey says, “138 days ‘til Football Time in Tennessee!”
That's all Folks!