Federal Changes – Foreign Influence Concerns

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What are the Regulatory Concerns?

- Overlap of funding
- Duplicate appointments
- Potential theft of intellectual property
Agency Requirements

• National Science Foundation
• National Institutes of Health
• U.S. Department of Energy
• UT-Battelle & Other National Laboratories
National Science Foundation

• Disclosure of all affiliations and appointments for individuals identified as key personnel

• Disclosure of current and pending support (including non-financial support)

• New PAPPG under review at OMB that may contain more requirements
National Institutes of Health Policy on Other Support

“All resources made available to a researcher in support of and/or related to all of their research endeavors, regardless of whether or not they have monetary value and regardless of whether they are based at the institution the researcher identifies for the current grant. This includes resource and/or financial support from all foreign and domestic entities, including but not limited to, financial support for laboratory personnel, and provision of high-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.).”
Notice NOT-OD-19-114: Reminders of NIH Policies on Other Support and on Policies related to Financial Conflicts of Interest and Foreign Components

FAQs regarding foreign components and what should be including in the proposal can be found at: https://grants.nih.gov/grants/faq-other-support-foreign-components.htm#5926
U.S. Department of Energy

Requires key personnel:
• To disclose all current & pending projects
• Time commitments to other projects
• Funding sources at time of the application
UT-Battelle

• Requirement to disclose if involved with a foreign talent program

• Applies to projects that will be conducted in ORNL owned or leased facilities
  • Includes all joint institutes with the exception of JIAM
  • Includes the MDF & some space at NTRC
Other National Laboratories

- Requirement to disclose if involved with a foreign talent program
  - Contract clause
  - Disclosure form
Certification statement for PI/Co-PI will include the following:

_All activities involving a foreign entity, including foreign sponsors and/or collaborations, have been disclosed in this proposal in accordance with sponsor requirements. It is my responsibility as a PI to understand these requirements._
UT Resources

- Website is under development highlighting agency information
- Office of Sponsored Programs
Questions?